

#2 S.W.H. 5/20/02

Attorney's Docket No.: 04688P058

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Dan Kikinis

Examiner: Not Yet Assigned

Application No. Not Yet Assigned

Art Unit: Not Yet Assigned

Filed: Herewith

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Leah Resendez  
Leah Resendez



Assistant Commissioner For Patents  
Washington, D.C. 20231-0001

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that any search has been made except for the ones connected with the Search Reports for Application Numbers PCT/US01/12342; PCT/US01/13897; PCT/US01/15405; PCT/US01/41664; PCT/US01/05056; and PCT/US01/11666 (copies enclosed)

and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3/1/02



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Form PTO-1449 (Modified)

Patent Docket No.:  
04688P058

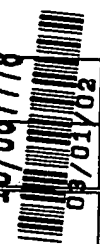
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List of Patents and Publications Statement  
(Use several sheets if necessary)

Applicant:  
Dan Kikinis

Filing Date:  
Herewith

10/08/77  
10/08/77  
08/01/02



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate
	AA	4,621,259	Schepers, et al.			
	AB	5,929,849	Kikinis			
	AC	6,078,348	Klosterman et al.			
	AD	6,072,983	Klosterman			
	AE	5,923,362	Klosterman			
	AF	5,940,073	Klosterman et al.			
	AG	5,828,945	Klosterman			
	AH	5,684,525	Klosterman			
	AI	5,550,576	Klosterman			
	AJ	5,243,418	Kuno, et al.			
	AK	5,999,187	Dehmlow, et al.			
	AL	6,243,039	Elliot			
	AM	6,061,055	Marks			
	AN	6,081,271	Bardon, et al.			
	AO	5,704,837	Iwasaki, et al.			
	AP	5,808,613	Marrin, et al.			
	AQ	6,002,403	Sugiyama, et al.			
	AR	6,043,818	Nakano			

FOREIGN PATENT DOCUMENTS

No.		Document No.	Date	Country	Class	Sub-Class	Translation
		WO	00/01149	A1	NDS Limited	01-06-2000	
		JP	410093880	A	KOMI, et al.	04-10-1998	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Form PTO-1449 (Modified)</b>		<b>Atty Docket No.:</b> 04688P058		<b>Serial No.:</b> Not Yet Assigned	
List of Patents and Publications Statement (Use several sheets if necessary)				<b>Applicant:</b> Dan Kikinis	
				<b>Filing Date:</b> Herewith	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials	Class	Sub-Class	Filing date if appropriate	Class	Sub-Class	Filing date if appropriate
	AA	5,724,492	Matthews, III, et al.			
	AB	5,977,964	Williams, et al.			
	AC	5,485,197	Hoarty			
	AD	5,414,773	Handelman			05-09-1995
	AE	5,479,268	Young et al.			12-26-1995
	AF	5,532,754	Young et al.			07-02-1996
	AG	5,737,028	Bertram et al.			04-07-1998
	AH	5,751,282	Girard et al.			05-12-1998
	AI	5,818,441	Throckmorton et al.			10-06-1998
	AJ	5,841,563	Effenberger			11-24-1998
	AK	5,900,915	Morrison			05-04-1999
	AL	5,926,168	Fan			07-20-1999
	AM	5,956,456	Bang et al.			09-21-1999
	AN	5,999,167	Marsh et al.			12-07-1999
	AO	6,029,195	Herz			02-22-2000
	AP	6,075,575	Schein et al.			06-13-2000
	AQ	6,167,188	Young et al.			12-26-2000
	AR	6,205,485 B1	Kikinis			03-20-2001
	AS	6,233,389 B1	Barton et al.			05-15-2001
	AT	6,271,831 B1	Escobosa et al.			08-07-2001

FOREIGN PATENT DOCUMENTS							
No.	Document No.	Date	Country	Class	Sub-Class	Trans-lation	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AS		
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